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Catalan Nominal Markers and Vowel Insertion

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1. In this paper I want to examine briefly the nature of the inflective markers of Catalan nominals, in particular the so-called gender markers. Gender markers consist normally of a single vowel or a zero morpheme. I will distinguish three types of "gender" or "class" markers. The unmarked class consists of zero for the masculine, and the vowel provided for the feminine. The marked class is considerably smaller in size than the unmarked one, but it still contains a relatively large number of members; the markers are u for the masculine and zero for the feminine. Finally, in the exceptional class we find a small number of nominals with an inflectional vowel which is different from the ones already mentioned: Dor i for the masc., i, u for the fem. In this class we can also include the other class markers referred to in fn.1 (s in temps 'time' is in bilis 'bile').

2. The unmarked, more general sing.-pl., masc.-fem. alternations in Catalan nominal inflection are those illustrated in (1) with the adjective prim 'thin', and the nouns tap 'bottle' and casa 'house':

(1) masc.sg. a. prím b. táp.4 masc.pl. príms táps.4 fem.sg. príma káza fem.pl. prímas kázas

If we assume that $[\pm F]$ and $[\pm P]$ are nominal inflective features and that the phonological shape of gender and number markers is spelled out by rule, the rules responsible for the above cases can be formalized as in (2a) and (2b):

GENDER SPELLING (to be modified)

a. $\emptyset \longrightarrow [\partial]/[[X]_{N,+F}]$ b. $\emptyset \longrightarrow [z]/[[X]_{N,+F}]$ c. $\emptyset \longrightarrow [z]/[[X]_{N,+P}]$

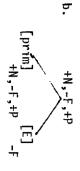
(2c) is the "Elsewhere" case w.r.t. (2a) and (2b): it will apply disjunctively, i.e. to [-F] and to [-P].

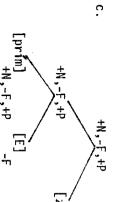
3. There exists a less common pattern for inflected nominals. 5 A minority, though a large number, of feminines take no gender marker, and some masculines take u as the gender marker. Thus fem.sg. of the adjective final, [fināl] (pl.[fināls]) 'final' is identical to the masc. form, and the fem. noun [klaw] 'key' has exactly the same form as the masc. noun [klaw] 'nail'. Here are some examples of this marked class:

:			
			(3)
3	c.	•	a.
fóndu(s) moru(s) mínsu(s) fátu(s) burát∫u (s) gepu(s)	Masc.sg(pl.) Adjectives	final(s) bulgar(s) indu(s) gran(s) kwal(s) kwal(s)	Fem.sg.(pl.) Adjectives
'deep' 'Moorish' 'tiny' 'snub-nosed' 'drunk' 'cross-eyed'	Adjectives	final' 'vulgar' 'hindu' 'big' 'which' 'smooth'	Adjectives
<u>a</u>	d.		ь.
รน์าน(ร) kflu(s) สักน(s) piลักน(s) gitaักน(s) musklu(s) karu(s)	Masc.sg.(pl.) Nouns	klāw(s) fám(s) unitāt(s) fe(s) serp(s) sāl(s)	Fem.sg.(pl.) Nouns
cork' kilo' owner' piano' gypsy' number' mussel' roll'	1.) Nouns	nail' hunger' humidity' faith' snake' salt'	.) Nouns

In (3c,d) the final w must be an inflectional element, since it is not present when another inflectional ending is present, as in the feminine (compare [ʃatw] in (3c), fem.[ʃátə] with [fátw] 'fatuous' fem. (fátwa), or in derivatives (compare [numaru] in (3d), and [numaral] 'numeral' with [razidw] 'residue' and [razidwal] 'residual'). I will return to the distinction between (3d) and (3d') later.

Let's assume that the rules in (2) are Chomsky-adjunctions. Gender Spelling will apply to the morphologically inflected root [prim]+N,-F,+p to give the form [primz], with the appropriate morphological structure, as shown in (4). E represents a phonologically null morphological element.





The unmarked cases, like the adjective in (4), or the nouns tap (masc.) and casa lexically with the bare root [tap]_F, [kaz]+F, but the marked cases, like [fam] (fem.) and [súru] (masc.) will have something that distinguishes them lexically from the unmarked cases. I propose that their special character is due to the fact that their lexical representation is a gender inflected form similar to the derived ones in (4b,c). I will modify the representation of the masc. [suru] directly. Compare the representations of [fam] and suru] (a) to those of [fama] 'fame' and [sur] 'South' (b) (I omit morphological structure not directly relevant):

Gender Spelling will not be able to apply to these forms (5a) which have their gender marker already expanded. Variable adjectives (1a) will be distinguished in the same way from invariable adjectives like those in (3a). Compare the fem.-masc. pairs totill - totil 'stupid' (variable) and util - util 'useful' (invariable):

Gender Spelling [[t5til][0]] [[t5til][E]]

Gender Spelling

But the adjectives in (3c) pose a problem. They are not invariable, they show different final vowels in masc. and fem. forms: [fóndu] - [fónda], [fófu] - [fófa], [mɔru] - [mɔra] (and their plurals [fóndus] - [fóndas], etc.). Phonetically a final u alternates in masc. forms with a final a in the fem. They should have, on the other hand, their masc. gender marker u in their underlying form, according to our analysis. Since we cannot derive the fem. from the masc., we would need another form for the fem. But a single form for both masc. and fem. is necessary, since they should be an instance of Gender Spelling, being completely regular form ending in inflective u that alternates with a fem. ending in anything other than a. And if we are right in assigning the same complexity to invariables (3a) and nominals with masc. forms in u (3c), we should not have one underlying form ([[final][E]]) in the first case, and two underlying forms ([[final][E]]) in the second case.

There is a simple solution to this problem. Let's assume that the rule that spells out the fem. marker inserts just a V slot. The marked forms in (3) will be represented lexically with their gender markers, namely [E], for invariables and feminines with no gender vowel, and [V] for nominals whose masculine ends in inflectional u. V will be interpreted as the unmarked vowel, namely a tienal u. Inflectional vowel will be distinguished from the fem. The masc. inflectional vowel will be distinguished from the fem. by a rule that specifies it as u. Gender Spelling will have the following revised form:

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$$0, \emptyset \longrightarrow [E]/[X] +N_{s}+F$$

$$0, \emptyset \longrightarrow [u]/$$

$$V_{s}$$

Now adjectives with <u>u</u> - <u>a</u> alternation can be represented lexically in their gender inflected form, but just with the V slot in the gender marker position. The rule that assigns <u>u</u> to masc. gender vowels will yield the final masc. <u>u</u> and the (universal) convention that spells V as <u>a</u> in the unmarked case will give the g of the feminine.

8 (7c)4- 4- 4-[[v][23v3]] fond u fond -F-F [[cVcc][V]] +F +F +F +F +F +F +F +F

logical structure, namely $\underline{\partial}$, to fem. and \underline{E} to masc. Exeptionally, underlying structures might contain specifications overriding the regularities expressed in (7). Thus the more marked, but still common cases in (3) have V or \underline{E} underlyingly. marked cases consist, underlyingly, of the bare root (4a); Gender Summing up, a vowel is the regular marker of feminines (rule (7a)), no vowel marks masculines (rule (7b)). In case there is an except-tional masc. vowel, it is the high back vowel (rule (7c)). Un-Spelling, in particular (7a,b), supplies the appropriate morpho-

Rule (7c), which introduces u, differentiates the marked cases in the masc. from those in the fem., when a vowel is lexically present, whereas marked cases in which E is present instead of V have the same fem. and masc. surface form.

[diān] +F diana fem. 'bull's eye' piano masc. 'piano'

[[pián][V]] -F -F -F

(7) [[dián][@]] +F -F +F [[pián][u]] -F-F

> [[finál][E]] +F +F+F final fem. 'final' final masc. 'end' [finál]

[[finá]][E]] _F -F-F

vowel is always unstressed and the unstressed vowel system consists of $\underline{\partial}$, \underline{i} and \underline{u} , the final vowel in this class could be $\underline{\partial}$ or \underline{i} in the masc., and \underline{u} , \underline{i} in the fem.8 restricted. In this class masculines end in a vowel which is not $\underline{\omega}$. Since the gender \underline{u} , and feminines end in a vowel which is not $\underline{\omega}$. Since the gender There is a third class of nominals which is still more

Let's examine first the masc. ending in $\underline{\partial}$. In some cases the $\underline{\partial}$ is epenthetic (10a), but in other cases it is clearly not (10c):

dign*ə* 'dign' eldisnd ma gm *a* ákta sógra act 'magma' 'possible' 'envelope *Xp1 *Xgn *X/3r *Xkt c. unánima páp*a* 'pope apát sa puéta pérsa 'Apache' 'unanimous' 'Persian' əmpát) magnan im pap 'gizzard' 'verse' 'indigestion' 'magnanimous' 'well' dimin.

For other consonants, or consonant clusters, however final position is possible (10d). [akta] will be /akt/ underlyingly, and a will be inserted. [unanima], on the other hand, will be underlyingly /unanima/ (or an equivalent form) with the final a in the root and no gender marker. There is some reason to believe that this a is an inflection marker, since it is not present before derivational (10b) indicates the inexistence of words ending in [pl], [nn], etc.

(11) a. unánimə séksə ' edga papa papa papa papat/a 'sex' əpət∫ét pəpal persik saksízma unenimitát 'papal' 'Apache' dim. 'unanimity' 'Persian' 'sexism'

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b. mannánim mannanimitát mářks 'Marx' mařksízma ampát/ ampat/ét gríp 'flu' gripál kářs 'Corsican' kářsik

I am assuming however that the a is radical, and that it is truncated before another vowel. The conditions are similar to the deletion across words, where identical vowels or a in contact with another vowel delete under certain conditions. Here are other examples of root vowel truncation in derivatives:

							(12) a.
			b .				<u>ت</u>
kíntas		prugrés	prím	dúas	λéngwa ¹⁰	dibźrsi	pr ɔ pi
'fifth'		'progress'	'thin'	'two' fem.	'tongue'	'divorce'	'own' adj.
'kintá	supstituí	prugrasa	aprimá	duấl	λəngwźt∂	dibursjá	aprupjá
'quintal'	'to substitute'	'to progress' .	'to thin'	'dual'	'tongue' augment.	'to divorce'	'acquire'
kíntupl <i>ə</i>	supstitút	prugrasista	primísim	dúpl <i>a</i>	Aangút	dibursísta	prupisim
'quintuple'	'substitute'	'progressive'	'thin' superl.	'duple'	'tongued'	'divorcist'	'own' super'.

In (12a) are roots ending in [i], or [w], which stay before a derivational suffix beginning with a different vowel ([á], [ɔt(ə)]), but disappear before an identical vowel (third column). (12b) contains examples of consonant final roots with which the suffixes always show up with their initial vowel.

The cases of exceptional inflectional vowel thus reduce to i in the masc. and \underline{i} , \underline{u} in the fem. The following list contains most of them:

(13) a. Masculines

munisipi 'county'
prinsipi 'beginning'
akilibri 'equilibrium'
aklipsi 'eclipse'
big jti 'moustache'
trapézi 'trapeze'
patroli 'petroleum'
urani 'uranium'

b. Feminines

				asklarózi	izcb	izescip	pərafrəzi	
				'sclerosis'	'dose'	'diocese'	'paraphrase'	
mótu	fótu	maŋnétu	supránu	dinamu	rádju	libidu	tribu	•
'motorcycle'	'photograph'	'magneto'	'soprano'	dynamo	radio	001011	'tribe'	

In all these cases a particular vowel is attached to the V slot that is specified in the lexical representation of the word. Thus the exceptional character of the nominals in this class is due to their "gender inflected" underlying status. Recall that that was also the case in the preceding class, but the latter had a specified V slot, whereas for the former we posit a particular specification for the vowel in addition to the V slot, thus capturing the difference in marking. (14) displays the phonetic form and the underlying form of examples of the unmarked class, the less marked class, and the exceptional class:

		(14)
Exceptional	Marked	Unmarked
Exceptional bigoti	ámu	Masculine
bigot i	ám	ám 'hook'
[[cvcvc][v]]	[[VC][V]]	[ám]
-F	-F	-F
rādju	fám	Feminine
rādj	fám	fama
[[cvcc][v]]	[[cvc][E]]	[fam]
+F	+F	+F

In the unmarked class, [ám] and [fám] will get their regular inflective markers \underline{E} and $\underline{\partial}$, respectively, by Gender Spelling (7). In the marked class the segmentally identical examples, like all other examples in (3), will have the inflective marker present as V or E, V being spelled out by (7) as [u]. Notice that the reverse specification would be possible, namely a -F as E, and a +F as V, but this would give as a result the unmarked case, for which no marker is necessary underlyingly. Finally, in the exceptional class in addition to the V, a particular vowel is present underlyingly, thus preventing (7) from applying at all to these examples as well as to the rest of the examples in (13).

The analysis just outlined covers regular nominal inflection Let's now consider it in the light of other cases of inflection and word formation.

The most clear case where plurals are not just singulars plus the marker \underline{z} involves oxytone masculine nominals ending in sibilant or sibilant plus stop. Thus compare the regular \underline{prim} in (a) to the examples in (b):

15)	Sg.	-	P1.
a.	prim	'thin'	prims
ь.	rús	'Russian'	rúsus
	més flúí	'month' 'weak'	mézus flú∫us
	mity	'half'	middzus
	d ə şpát∫	'office'	d <i>ə</i> sp ă t∫u:
	být)	'crazy'	snked
	tést	'flower pot'	téstus
	dísk	'disc'	dískus

I will not be concerned here with the regular phonological alternations affecting the final consonant of the root. What is interesting about these cases is that they involve an environment of vowel insertion, but the inserted vowel is not the usual one, at regressively and then merge (16a). In inflection, however, a is inserted between them:

	(16)
	a.
dis mit/	trés bátj
neg. prefix 'half'	'three'
30m	sáks ∫iwlá
	sáks 'sack' Siwlá 'whistle'

 b. dźrmis 'sleep' Pres.subj.,2sg. dźrms pres.ind.,2 sg. batis 'beat'

> b'. fugis 'flee' fúgas kuzis 'sew' kuzas kunéfis 'know' kunéfas túsis 'cough' túsas

In (16b) the verbal root is followed by the inflectional ending is in the first column, and by the inflectional ending s in the second. This applies to all verbs except those with root final \underline{s} , \underline{z} , \underline{s} , or \underline{s} . For these (16b'), endings are added without change in the root normally, but before \underline{s} an epenthetic \underline{s} is inserted.

If we allow V insertion to apply freely between sibilants (or sibilant-stop cluster plus sibilant), it will insert a V in the examples in (15b) (masc.pl. inflection) and (16b') (verbal inflection). The first example in (15b) and the last of (16c') will have the following structure:

7) a. rús s b. tús s [[[cvc]][v]][c]] [[[cvc][v]][c]]

(17a) will be subject to Gender Spelling, in particular to (7c) which will attach a \underline{u} to the empty V slot, whereas the V in (17b) will not be subject to the rule and will be interpreted as $\underline{\boldsymbol{\sigma}}$. The separation of the processes of "V spelling" and "u spelling" formalized in (7) that were motivated by the distribution of inflectional vowels, allows us to give a satisfactory account of the appearance of \underline{u} in $\underline{\boldsymbol{\sigma}}$ insertion environments.

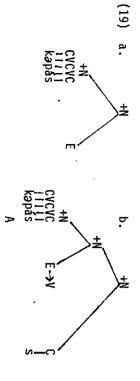
This solution can also be tested with another set of cases. Adjectives like [rus] have masc. pl. [rusus], as we have seen, and regular fem. [rusa] with nonproblematic pl. [rusas]. But what happens when an adjective ending in sibilant or sibilant plus stop is invariable? Compare the invariable capac 'capable' with escas 'scarce' which is variable and ends in a sibilant and fiscal 'fiscal' which is variable but doesn't end in sibilant.

	(18)
fiskál kapás askás	Masc.sg.
fiskál kəpás əskásə	Fem.sg.
fiskáls k ə pásus <i>ə</i> skásus	Masc.pl.
fiskáls kapásas askásas	Fem.pl.

Fiscal being invariable, it will have the structure [[fiskal][E]] which will prevent Gender Spelling from inserting a vowel in the feminine forms. Escas is parallel to rus in its masc. forms: vowel epenthesis inserts V which is spelled out by (7) as u. Now capaç will have to have the structure [[kapaás][E]], like fiscal

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([[fiskál][E]]), both being invariables. But vowel insertion, being a phonological rule, will apply to the plural of <u>capac</u> making it variable phonetically. I will assume, given these facts, that the morphological processes of Gender Spelling cannot apply to an already "spelled out" structure like (19a), but phonological processes will be able to insert an element in the null position:



(7c) will apply after V-epenthesis like in the other cases and [[[kapás][E]][s]] will become [kapásus] if masc. and [kapásas] if fem.

Another piece of evidence in favor of this analysis of inflective vowels has to do with word formation. A desinential vowel appears often at the end of the first element of the complex word. In nominals it can be, exceptionally, the feminine marker, as in deadjectival manner adverbs in -ment:

(20) Adjective (masc.) Adjective (fem.) Derived adverb

aktuál	análak	astrán	nów 'new'
əktuál	epulane epulane	astrán <i>a</i>	n ó de de n
əktuàlmén	ənàlugəmén	astranamén	nòbamén

But normally the morphologically unmarked form is required. If derivation or compounding don't require a vowel after the stem, the bare stem appears even if the masculine gender-inflected form has a vowel:

(21) prím 'thin' primísim <u>superl</u>.
imfáma 'infamous' imfamisim <u>superl</u>.
gdt 'glass' gutét <u>dimin</u>.
bigóti 'moustache' bigutét <u>dimin</u>.

But in other cases the suffix requires a vowel to appear before it; in these cases the inflection marker appears on the root (23). Given our analysis, if the morphologically unmarked form, namely masc. sg., is required, and a vowel is also required by the suffix, we will expect the less marked masc. vowel to appear, namely in

It is rare that stressed suffixes require a vowel. The only case that is reasonably uncontroversial is the deadjectival nominal suffix which has the allophones $-\det$ and $-\det$. Both can appear after stems with no final vowel added, or with $\overline{\partial}$, and $-\tan$ can also appear after the stem followed by \underline{i} :

(22)má1 <u>×</u> əskas igwai equal' bad' 'useful' 'scarce' m**ə**ldát igwəltát askasatat utilitát sakadát 'helpfulness' 'scarcity' 'dryness' equality' 'badness'

But there is a class of derivational suffixes that normally begin with a consonant and require a vowel on the stem: 11

3) a. <u>-graf</u> əsənugrafik 'scenographic' əsénə 'scene' ləksikugráfik 'lexicographic' léksik 'vocabulary'

-leg/log fænumanuluʒfa 'phenomenology'
fænɔman 'phenomenon'
mætuduluʒía 'methodology'
mɛ̃tuda 'method'

Cases that take a vowel different from u, or no vowel exist, but they are very restricted (triluzia 'trilogy' karnibur 'carnivorous').

Finally, another case where a desinential vowel is required by a specific morphological configuation is found in compounds like anglo-francès fisico-matemàtic ([anglufransés], [fizikumatamátik]). Whereas similar types of compounds do not require a vowel (sudoest 'southeast', sord-mut 'deaf-mute'), when this particular compound structure requires a vowel, it is the unexpected unmarked masc. vowel <u>u</u>.

7. The three types of nominals, unmarked, marked, and exceptional are thus distinguished by their underlying forms. Unmarked nominals will have their "gender" spelled out by the regular process of Gender Spelling (7): a vowel is inserted in the fem.; the underlying form will consist just of the root (and morphological class features). On the other hand, marked nominals will have slightly more complex underlying representations. The inflective suffix will be present, either as a phonologically empty node for the fem., or as a V slot in the masc. The representations in the unmarked and in the marked class are thus reversed: masculines have the form of feminines (except for the feature F, of course), and conversely. The empty node will surface empty in both marked and unmarked classes; rule (7c), however, will distinguish masc. the

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feature [-F]. We have finally the exceptional class with fully specified inflectional markers in underlying representation. The analysis can also be extended, as argued in sections 5 and 6, to cases where a V slot appears for independent reasons (phonological or morphological). In these cases the V is spelled out as u if it is masc., and $\underline{\partial}$ in case it is fem. or has no gender feature at all

FOOTNOTES

lAs pointed out for Spanish by Harris (1983:115-116, 1984) other elements can also function as inflectional markers. He citation, -es, -os, -s as in dosis, diabetes, caos, tora[ks]. See also See also He cites

2"Class marker" is the term used by Harris (1984).

3u needs only to have the specifications [-back, -low], other features being supplied by vowel reduction; see Mascaró

 $^4{\rm The\ phonetic\ [s]}$ in the plural is the result of finally devoicing an underlying /z/.

5see Wheeler (1979: 2-8) for an early generative analysis, and Mascaró (forthcoming, section 40) for discussion.

 $^6 In$ the phonetic transcriptions I don't distinguish between the spirant and stop variants of $\underline{b},\ \underline{d},\ \underline{g}.$

Morphological constituent structure makes it possible to distinguish a root from a stem with a "zero morpheme", e.g., (4a) from (4b). Notice also that $[prim]_{+N,-F,-P}$ is notationally equivalent to +N,-F,-P.

each pair is a derivative which shows that the missing final segment is inflective). -is: brindis 'toast' -brindar 'to toast', glotis 'glottis', -glotal 'glottal', cutis 'skin' - cutani cutaneous'; -us: porus 'pore' - poros 'porous', anus 'anus' - ana 'anal'; -s: bilis 'bile' - biliar 'biliary', temps 'time' -temporal 'temporal', fons 'bottom' - fonament 'base'. ⁸As pointed out before (fn. 1) markers which don't consist of a single vowel belong also to this class. Mascaró (forthcoming, section 40) gives the following examples (the second example in

10] am assuming that [w] is represented as a segmental "u"

9See Wheeler (1979: 287-294) and Mascaró (1984: 205-206).

analysis. 11See Mascaró (forthcoming, section 32) for a descriptive

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